BOB RILEY
GOVERNOR



DR. TIM ALFORD
DIRECTOR

STATE OF ALABAMA

January 28, 2005

Ms. Christine Kulick
USDOL/ETA
Office of Workforce Investment
Division of One-Stop Operations, Room S4231
200 Constitution Avenue, NW
Washington, D.C. 20210

Subject: Request for Extension of Waivers

Dear Ms. Kulick:

USDOL Training and Employment Guidance Letter (TEGL) No. 14-04, dated January 21, 2005, suggests Workforce Investment Act regulatory waivers currently in effect may be <u>extended</u> through June 30, 2007.

Alabama has been granted four such regulatory waivers. The first extends approved WIA training services providers' period of initial eligibility through June 30, 2005. The second waiver, also currently effective through June 30, 2005, increases WIA Tile I-B Adult-Dislocated Worker program funds transfer authority from twenty percent to fifty percent. The third waiver, which again is currently effective through June 30, 2005, enables local areas to use up to ten percent of their allocated funds to provide Statewide Employment and Training activities, to include Incumbent Worker Training. The fourth waiver allows the structuring of State Board membership according to H.R. 1261 requirements.

These waivers provide much-needed flexibility. This need has not expired, but is ongoing. The development of performance reporting mechanisms to facilitate WIA service provider performance information procurement is also ongoing.

WIA Adult and Dislocated Worker program management flexibility remains a high priority, particularly with the influx of former Welfare-to-Work participants into our WIA Adult program. An expanded fund transfer authority directly supports achievement of such flexibility. Expanding to local areas the ability to conduct incumbent worker programs facilitates the introduction of WIA to local employers. It is an invaluable tool in today's highly competitive economy.

Alabama requests an extension of these four regulatory waivers through June 30, 2007.

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Please contact Ray Clenney at (334) 242-1421 with any questions.

Sincerely,

John Harrison, Director

Department of Economic and Community Affairs

Tim Alford, Director

Office of Workforce Development

cc: Dr. Helen N. Parker

Attachment

Alabama WIA Waiver Request Activity

Waiver Request	Action	Date of USDOL Action
Per TEGL No. 14-04, request an extension, through June 30, 2007, of four approved regulatory waivers: 1) WIA service providers' period of initial eligibility, 2) the expansion of Adult-Dislocated Worker program transfer authority from twenty percent to fifty percent of funds, 3) allowing local areas to use up to ten percent of their annual fund allocations for Statewide Workforce Investment Activities, and 4) the restructuring of State Board member composition requirements along H.R. 1261 specifications.	Waiver extension request transmitted to USDOL January 26, 2005.	Pending
Waive Section 133(b)(4) twenty percent cap on LWIB Adult Program-Dislocated Worker Program funds transfer authority; increase LWIB Adult Program- Dislocated Workers Program transfer authority to one hundred percent.	Waiver extension request transmitted to USDOL January 21, 2005.	Pending
Waive requirement for annual submission by WIA training providers of program performance information for WIA program participants, in effect extending the period of initial eligibility for WIA service providers.	Waiver request presented to SWIB 5/2003. Waiver request submitted to USDOL 6/2003. Waiver of the time limit on period of initial eligibility for training providers was approved by USDOL, extending the waiver through June 30, 2005.	September 23, 2004

Waive requirement that WIA participants be sequentially provided core and intensive services to become eligible to receive WIA training services, i.e., enable the provision of incumbent worker training. Additionally, allow up to 10 percent of local area funds to be used for incumbent worker training.	Waiver request presented to SWIB 5/2003. Waiver request submitted to USDOL 6/2003. Waiver request approved by USDOL. Local areas may use up to 10 percent of local formula allocation funds to provide Statewide employment and training activities. Waiver is effective through June 30, 2005.	September 23, 2004
Waive Section 111(b) SWIB and Section 117(b) local board membership requirements in favor of the SWIB and local board membership requirements proposed in H.R. 1261.	Waiver request presented to SWIB 5/2003. Waiver request submitted to USDOL 6/2003. Waiver request partially approved by USDOL. Only SWIB membership requirements are waived. Waiver is effective through June 30, 2005.	September 23, 2004.
Per TEGL No. 21-03, request extension two approved regulatory waivers: 1) extension of WIA service providers initial eligibility through June 30, 2005, and 2) Adult- Dislocated Worker program transfer authority expanded from twenty percent to fifty percent of funds.	Waiver extension request transmitted to USDOL May 7, 2004. USDOL grants request extension through June 30, 2005, of eligible training provider and funds transfer authority waivers. The extended WIA waivers are now both effective through June 30, 2005.	June 29, 2004

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